**CHECKLIST : INVESTMENT ENTITIES**

|  |  |
| --- | --- |
| LR Ref | Paragraph reference where this is addressed or reason why not addressed |
| 1. 15.3 |  |
| 1. 21.9 | *AltX* |
| 1. 15.4(a) |  |
| 1. 15.4(b) |  |
| 1. 15.4(c) |  |
| 1. 15.4(d) |  |
| 1. 15.4(e) |  |
| 1. 15.4(f) |  |
| 1. 15.4(g) |  |
| 1. 15.5(a) |  |
| 1. 15.5(b) |  |
| 1. 15.5(c) |  |
| 1. 15.5(d) |  |
| 1. 15.5(f)(i) |  |
| 1. 15.5(f)(ii) |  |
| 1. 15.5(f)(iii) |  |
| 1. 15.5(f)(iv) |  |
| 1. 15.5(f)(v) |  |
| 1. 15.5(f)(vi) |  |
| 1. 15.5(f)(vii) |  |
| 1. 15.5(f)(viii) |  |
| 1. 15.5(g)(i) |  |
| 1. 15.5(g)(ii) |  |
| 1. 15.5(g)(iii) |  |
| 1. 15.5(g)(iv) |  |
| 1. 15.5(h) |  |
| 1. 15.5(i) |  |
| 1. 15.5(j) |  |
| 1. 15.7 |  |
| 1. 15.8 |  |
| 1. 15.9 |  |
| 1. 15.10 |  |