**CHECKLIST : INVESTMENT ENTITIES**

|  |  |
| --- | --- |
| LR Ref | Paragraph reference where this is addressed or reason why not addressed |
| 1. 15.3
 |  |
| 1. 21.9
 | *AltX* |
| 1. 15.4(a)
 |  |
| 1. 15.4(b)
 |  |
| 1. 15.4(c)
 |  |
| 1. 15.4(d)
 |  |
| 1. 15.4(e)
 |  |
| 1. 15.4(f)
 |  |
| 1. 15.4(g)
 |  |
| 1. 15.5(a)
 |  |
| 1. 15.5(b)
 |  |
| 1. 15.5(c)
 |  |
| 1. 15.5(d)
 |  |
| 1. 15.5(f)(i)
 |  |
| 1. 15.5(f)(ii)
 |  |
| 1. 15.5(f)(iii)
 |  |
| 1. 15.5(f)(iv)
 |  |
| 1. 15.5(f)(v)
 |  |
| 1. 15.5(f)(vi)
 |  |
| 1. 15.5(f)(vii)
 |  |
| 1. 15.5(f)(viii)
 |  |
| 1. 15.5(g)(i)
 |  |
| 1. 15.5(g)(ii)
 |  |
| 1. 15.5(g)(iii)
 |  |
| 1. 15.5(g)(iv)
 |  |
| 1. 15.5(h)
 |  |
| 1. 15.5(i)
 |  |
| 1. 15.5(j)
 |  |
| 1. 15.7
 |  |
| 1. 15.8
 |  |
| 1. 15.9
 |  |
| 1. 15.10
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