**Annexure a: financial services necessary to maintain the functioning of the JSE**

**Authorised users of the JSE (including brokers, banks, including trading and clearing members)**

1. 28 Degrees South Capital (Pty) Ltd

2. ABSA Bank Ltd

3. ABSA Capital Securities (Pty) Ltd

4. Acumen Capital (Pty) Ltd

5. Afrifocus Securities (Pty) Ltd

6. AG Capital

7. AG Institutional Brokers (Pty) Ltd

8. AG Institutional Brokers (Pty) Ltd

9. Avior Capital Markets (Pty) Ltd

10. Agricultural Services Corp Ltd

11. Allem Brothers (Pty) Ltd

12. Alpha Derivatives CC

13. Anchor Stockbrokers (Pty) Ltd

14. Anglorand Securities Ltd

15. Applied Derivatives (Pty) Ltd

16. Argon Securities (Pty) Ltd

17. Arqaam Capital South Africa (Pty) Ltd

18. Atisa Securities (Pty) Ltd

19. Avior Capital Markets (Pty) Ltd

20. Bester Derivative Trading (Pty) Ltd

21. Bester Feed & Grain (Pty) Ltd

22. BGC SA Financial Brokers (Pty) Ltd

23. BNK Agriculture Ltd

24. Bond Street Securities (Pty) Ltd

25. Boland Agri (Edms) Bpk

26. BP Bernstein (Pty) Ltd

27. Brent Trading (Pty) Ltd

28. Brisen Financial Services (Pty) Ltd

29. Bsec Derivative Brokers (Pty) Ltd

30. BUI Medical & Technology Suppliers (Pty) Ltd

31. BVG Commodities (Pty) Ltd

32. Cargill RSA (Pty) Ltd

33. Cazenove South Africa (Pty) Ltd

34. Cirrus Securities (Proprietary) Limited

35. Citibank N.A. South Africa

36. Citigroup Global Markets (Pty) Ltd

37. CJS Securities (Pty) Ltd

38. Computershare Outsourcing (Pty) Ltd

39. Constellation Capital (Pty) Ltd

40. Corn International (Pty) Ltd

41. Coronation Asset Management (Pty) Ltd

42. Cratos Derivatives (Pty) Ltd

43. Cratos Institutional (Pty) Ltd

44. Credit Suisse Securities Johannesburg (Pty) Ltd

45. CRK Agriculture Ltd

46. Derived Market Investment & Planning (Pty) Ltd

47. Deutsche Bank AG Johannesburg Branch

48. Deutsche Securities (Pty) Ltd

49. Development Bank of Southern Africa

50. DHJ Grain Brokers (Pty) Ltd

51. DWT Securities (Pty) Ltd

52. Eskom Holdings Ltd

53. Eskom Pension and Provident Fund

54. FFO Securities (Pty) Ltd

55. Fixnetix Ltd

56. FNB Stockbroking and Portfolio Management (Pty) Ltd

57. Foord Asset Management (Pty) Ltd

58. F-Wise Capital (Pty) Ltd

59. Futuregrowth Asset Management

60. Garban SA (Pty) Ltd

61. GFI South Africa (Pty) Ltd

62. GFI Securities (Pty) Ltd

63. GFI International and Capital Market Brokers (Pty) Ltd

64. GL Trade South Africa Pty Ltd

65. Goldman Sachs International Bank Johannesburg Branch

66. GroCapital Broking Services (Pty) Ltd

67. GWK Trading (Pty) Ltd

68. HSBC Bank PLc Johannesburg Branch

69. HSBC Securities (South Africa) (Pty) Ltd

70. ICAP Securities South Africa (Pty) Ltd

71. IJG Securities (Pty) Ltd

72. Independent Securities (Pty) Ltd

73. Intrepid Capital (Pty) Ltd

74. Investec Bank Limited

75. Investec Markets (Pty) Ltd

76. Investec Securities (Pty) Ltd

77. IRESS Financial Markets (Pty) Ltd

78. JP Morgan Chase Bank, N.A. Johannesburg Branch

79. JP Morgan Equities South Africa (Pty) Ltd

80. JP Morgan Securities South Africa (Pty) Ltd

81. Kaon Capital (Pty) Ltd

82. Khumo Securities (Pty) Ltd

83. Land and Agricultural Development Bank of South Africa

84. Lefika Securities (Pty) Ltd

85. Legae Peresec (Pty) Ltd

86. Legae Peresec Derivatives (Pty) Ltd

87. Macquarie Securities South Africa Ltd

88. MCI Consultants (Pty) Ltd

89. Mercantile Bank Ltd

90. Mergence Africa Capital (Pty) Ltd

91. Merrill Lynch South Africa (Pty) Ltd

92. MGK Bedryfsmaatskappy (Edms) Bpk

93. MKB Moorreesburgse Koringboere

94. Momentum Securities (Pty) Ltd

95. Navigare Securities (Pty) Ltd

96. Ned Settle Services (Pty) Ltd

97. Nedbank Limited

98. Nedgroup Private Wealth Stockbrokers (Pty) Ltd

99. Nedgroup Securities (Pty) Ltd

100. Noah Capital Markets (Pty) Ltd

101. NTK

102. NVest Securities (Pty) Ltd

103. NWK Ltd

104. Oakleaf Capital (Pty) Ltd

105. Old Mutual Life Assurance Co SA Limited

106. Oos-Kaap Boerdery & Graanhandelaars (Edms) Bpk

107. Options Technology Limited

108. Peregrine Securities (Pty) Ltd

109. Philippus de Witt (Pty) Ltd

110. Pico Global Ltd

111. Prescient Securities (Pty) Ltd

112. PSG Fixed Income and Commodities (Pty) Ltd

113. PSG Securities Ltd

114. Public Investment Corporation SOC Limited

115. Rand Merchant Bank, a Division of FirstRand Bank Limited

116. Regiments Securities Ltd

117. Rencap Securities (Pty) Ltd

118. Ridgecape Capital (Pty) Ltd

119. RMB Morgan Stanley (Pty) Ltd

120. RMB Securities (Pty) Ltd

121. RMB Stockbroking Operations (Pty) Ltd

122. Robinson Mulder de Waal Financial Services (Pty) Ltd

123. Russellstone Group (Pty) Ltd

124. Russellstone International (Pty) Ltd

1. SA Derivatives (Pty) Ltd
2. SA International Capital Market Brokers (Pty) Ltd
3. SA Stockbrokers (Pty) Ltd
4. Sanlam Capital Markets (Pty) Ltd
5. Sanlam Investment Management (Pty) Ltd
6. Sanlam Private Wealth (Pty) Ltd
7. Sanlam Securities (Pty) Ltd
8. Sasfin Securities (Pty) Ltd
9. SBG Securities (Pty) Ltd
10. Schoeman Boerdery
11. Sentraal-Suid Koop
12. Senwes Graanmakelaars (Pty) Ltd
13. Senwes Ltd
14. Sigma Option Writers (Pty) Ltd
15. Sinayo Securities (Pty) Ltd
16. Siyanda Capital (Pty) Ltd
17. Societe Generale Johannesburg Branch
18. South African Reserve Bank of South Africa Ltd
19. Standard Chartered Bank Johannesburg Branch
20. STANLIB Asset Management (Pty) Ltd
21. Suidwes Landbou (edms) Bpk
22. Suidwes Makelary (Pty) Ltd
23. Sygnia Securities (Pty) Ltd
24. Taquanta Securities (Pty) Ltd
25. Telkom SA SOC Limited
26. The Standard Bank of South Africa Limited
27. Thebe Stockbroking (Pty) Ltd
28. Tradition Government Bond Brokers & Derivatives Brokers (Pty) Ltd
29. Trans-Caledon Tunnel Authority
30. Transet SOC Limited
31. Tribe Capital (Pty) Ltd
32. TTSA Securities (Pty) Ltd
33. Tuinroete Agri
34. Tullett Prebon South Africa (Pty) Ltd
35. TWK Agriculture Ltd
36. UBS South Africa (Pty) Ltd
37. Unigrain Trading (Pty) Ltd
38. Vanguard Derivatives (Pty) Ltd
39. VKB Graan (Pty) Ltd
40. Vorlon (Pty) Ltd
41. Vrystaat Kooperasie Bpk
42. Vunani Capital Markets (Pty) Ltd
43. Vunani Securities (Pty) Ltd
44. WPK Agriculture Ltd
45. Zargoscape (Pty) Ltd

**Central Securities Depository Participant (CSDP)**

1. ABSA
2. Citibank
3. Standard Bank
4. Standard Chartered
5. First National Bank
6. Link
7. Computershare
8. Nedbank
9. South African Reserve Bank
10. Eskom

**Clearing Members**

1. ABSA
2. Standard Bank
3. Rand Merchant Bank
4. Nedbank
5. JP Morgan
6. Merril Lynch
7. Societe Generale
8. Investec

**Securities Lending Desks**

1. ABSA Bank Ltd
2. AG Capital (Pty) Ltd
3. Axon/ORG
4. Investec Bank Ltd
5. HSBC
6. Navigare
7. Nedbank Ltd
8. Optimize Financial Services
9. Rand Merchant Bank, a division of FirstRand Bank Ltd
10. Sanlam Investment Management
11. Sygnia Securities.
12. The Standard Bank of South Africa Limited
13. Zarclear Securities Lending (Pty) Ltd

**Central Securities Depository**

1. Strate

**Central Bank Payment System**

1. ARB Samos
2. PASA

**Trading and Clearing Software Providers**

1. MCI
2. FIS Global
3. Options IT
4. Pico Global
5. IRESS
6. DMA
7. MTN
8. RMB
9. Internet Solutions
10. IBM
11. Nedbank
12. Liquid Telecom
13. EOH
14. BUI
15. Fixnetix
16. Estuary
17. Agribase
18. Diro
19. Bloomberg
20. Fidessa
21. STT
22. Synthesis Technologies
23. Refinitive
24. ITIVITI
25. Cortical Systems

**Data Vendors and Data Providers**

1. Bloomberg Finance L.P.
2. Refinitiv
3. IRESS South Africa Pty Ltd
4. Factset Research Systems Inc
5. Morningstar Real-Time Data Limited
6. Infront SA
7. FIS Financial Systems (France) SAS
8. MCI Consultants (Pty) Ltd
9. Profile Data Technology (Pty) Ltd
10. Sharenet (Pty) Ltd
11. IG Markets South Africa (Pty) Ltd
12. QuickTrade (Pty) Ltd
13. First World Trader (Pty) Ltd
14. New Age Investments (Pty) Ltd t/a PDSnet

|  |
| --- |
| 1. SCM DMA (Pty) Ltd (old Saxo Capital Markets South Africa (Pty) Ltd)
 |
| 1. Fixnetix Ltd
2. The Shared Advantage CC
 |
| 1. Timbukone (Pty) Ltd
 |
| 1. Equity RT
 |
| 1. Estuary Solutions (Pty) Ltd
 |
| 1. InvestorData (Pty) Ltd
 |
| 1. BVG Commodities (Pty) Ltd
 |
| 1. BT Communications Services South Africa (Pty) Ltd
 |
| 1. Dunamis Financial Services (Pty) Ltd t/a Share Direct
 |
| 1. SBG Securities (Pty) Ltd
 |
| 1. Investec Securities (Pty) Ltd
 |
| 1. Satrix Managers (RF) (Pty) Ltd
 |
| 1. Nedbank Group Limited
 |
| 1. PSG Securities Ltd
 |
| 1. Nedgroup Private Wealth Stockbrokers (Pty) Ltd
 |
| 1. Velocity Trade Capital (Pty) Ltd
 |
| 1. Legae Peresec (Pty) Ltd (old Peregrine Equities (Pty) Ltd)
 |
| 1. FNB Stockbroking and Portfolio Management (Pty) Ltd (old FNB Securities (Pty) Ltd)
 |
| 1. Momentum Securities (Pty) Ltd
 |
| 1. Thebe Stockbroking (Pty) Ltd
 |
| 1. Afrifocus Securities (Pty) Ltd
 |
| 1. Absa Stockbrokers and Portfolio Management (Pty) Ltd
 |
| 1. Sanlam Investment Management (Pty) Ltd (a division of Sanlam life)
 |
| 1. SA Stockbrokers (Pty) Ltd
 |
| 1. F-Wise Capital (Pty) Ltd
 |
| 1. YEBOYETHU(RF) LIMITED
 |
| 1. Bsec Derivative Brokers (Pty) Ltd
 |
| 1. Senwes Ltd
 |
| 1. Unigrain Trading (Pty) Ltd
 |
| 1. Afgri Operations Ltd
 |
| 1. Suidwes Landbou (Edms) Bpk
 |
| 1. Bester Derivative Trading (Pty) Ltd
 |
| 1. Bester Feed & Grain (Pty) Ltd
 |
| 1. Russellstone Group t/a Grainvest Futures
 |

**Debt Sponsors**

1. ABSA Capital
2. Investec Bank Limited
3. Java Capital Trustees & Sponsors Pty Ltd
4. Merchantec Capital
5. Mettle Specialised Finance (Pty) Ltd
6. National Treasury (Republic Of Sa
7. Nedbank Capital A Division Of Nedbank Limited
8. Nodus Capital (Pty) Ltd
9. One Capital Sponsor Services (Proprietary) Ltd
10. PSG Capital (Pty) Ltd
11. Questco (Pty) Ltd / Questco Corporate Advisory (Pty) Ltd
12. Rand Merchant Bank
13. Redinkcapital (Pty) Ltd
14. Sanlam Capital Markets (Pty) Ltd
15. Sasfin Bank Ltd
16. Standard Bank Of Sa Ltd
17. Trans-Caledon Tunnel Authority (Tcta)

**Entities that publish announcements for listed companies**

1. INCE
2. Bastion
3. Studio 5
4. Max Communication
5. Purple Frog
6. HKLM
7. Wounded Buffalo
8. Maxx
9. Green Grass Design

**Sponsors and Designated Advisors**

1. ABSA Capital
2. Arbor Capital Sponsors (Pty) Ltd
3. Bravura Capital (Proprietary) Limited
4. Bridge Capital Advisors  (Pty) Ltd
5. Dea-Ru Proprietary Limited
6. Deloitte & Touche Sponsor Services (Pty) Ltd
7. Exchange Sponsors (2008)(Pty) Ltd
8. Grindrod Bank Limited
9. Investec Bank Limited
10. Java Capital Trustees & Sponsors Pty Ltd
11. JP Morgan Equities South Africa (Pty) Ltd
12. KPMG Services Proprietary Limited
13. Merchantec Capital
14. Merrill Lynch Sa (Pty) Limited
15. Nedbank Corporate & Investment Banking ( A Division Of Nedbank Ltd)
16. One Capital Sponsor Services (Proprietary) Ltd
17. Pallidus Capital Proprietary Limited
18. Pricewaterhousecoopers Corporate Finance (Pty) Ltd
19. PSG Capital (Pty) Ltd
20. Questco (Pty) Ltd / Questco Corporate Advisory (Pty) Ltd
21. Rand Merchant Bank Corporate Finance Division
22. Rencap Securities (Pty) Limited
23. River Capital Partners (Pty) Ltd
24. Rothschild (South Africa) (Pty) Ltd
25. Sasfin Capital Proprietary Limited
26. Tamela Holdings Proprietary Limited
27. The Standard Bank Of Sa Ltd
28. UBS South Africa (Pty) Ltd
29. Vunani Sponsors (Pty) Ltd

**Silo Owners**

|  |
| --- |
| 1. AFGRI Operations Limited
 |
| 1. Allem Brothers (Pty) Ltd
 |
| 1. Bester Feed And Grain (Pty) Ltd
 |
| 1. BKB Beperk
 |
| 1. GWK Limited
 |
| 1. Kaap Agri Beprek Bpk
 |
| 1. Keystone Milling Pty Ltd
 |
| 1. NWK Limited
 |
| 1. Oos-Vrystaat Kaap Operations
 |
| 1. Overberg Agri Bedrywe (Pty) Ltf
 |
| 1. Schoeman Boerdery (Edms) Bpk
 |
| 1. Sentraal-Suid Koop Bpk
 |
| 1. Senwes Ltd
 |
| 1. Suidwes Landbou (Edms) Bpk
 |
| 1. TWK Agri (Pty) Ltd
 |
| 1. VKB Landbou (Edms) Bpk
 |

**Grain Storage Inspectors and Collateral Managers**

1. Bureau Veritas Testing and Inspections
2. Collateral Management International
3. Drum Commodities Ltd
4. Commodity Inspections Group (CIG)
5. Grain Training Institute
6. SGS South Africa (Pty) Ltd

**Fund Administrators and Fund Services**

1. Curo
2. Maitland
3. Prescient
4. Risk Café
5. Sanne
6. Realfin

**Buy side (including asset managers, financial service providers, pension and hedge funds)**

|  |
| --- |
| 1. 36one
 |
| 1. ABAX
 |
| 1. ABSA Asset Managers
 |
| 1. Aeon Investment Management
 |
| 1. AFENA
 |
| 1. All Weather
 |
| 1. Allan Gray
 |
| 1. Aluwani Capital
 |
| 1. Anchor Capital
 |
| 1. Argon Asset
 |
| 1. Ashburton
 |
| 1. Bateleur Capital
 |
| 1. BlackRock
 |
| 1. Blue Alpha Funds
 |
| 1. Cachalia Capital
 |
| 1. Cadiz
 |
| 1. Capricorn SA
 |
| 1. Citadel
 |
| 1. Clucas Gray
 |
| 1. Coronation
 |
| 1. Denker Capital
 |
| 1. Eau Rouge
 |
| 1. EDGE cap man
 |
| 1. Electus
 |
| 1. Element
 |
| 1. Element IM
 |
| 1. EPPF
 |
| 1. Eskom Pension & Prov Fund
 |
| 1. Excelsia
 |
| 1. Fairtree Capital
2. FinSwitch
 |
| 1. First Avenue
 |
| 1. Foord
 |
| 1. Future Growth
 |
| 1. Gryphon AM
 |
| 1. HH Group
 |
| 1. Investec Asset Man.
 |
| 1. Investment Solutions
 |
| 1. JM Busha
 |
| 1. Kagiso Asset Man.
 |
| 1. Laurium Capital
 |
| 1. Legacy Africa
 |
| 1. Maestro Investment
 |
| 1. Matrix Fund Man.
 |
| 1. Mazi
 |
| 1. Meago
 |
| 1. Melville Douglas
 |
| 1. Mergence
 |
| 1. Mianzo
 |
| 1. MIBFA
 |
| 1. Momentum
 |
| 1. Nedbank Private Wealth
 |
| 1. Nedgroup Investments
 |
| 1. Northstar
 |
| 1. OASIS
 |
| 1. Obsidian Capital
 |
| 1. Old Mutual Invest
 |
| 1. OMIGSA
 |
| 1. Peregrine
 |
| 1. Perpetua
 |
| 1. PIC
 |
| 1. Prescient
 |
| 1. Prudential
 |
| 1. PSG
 |
| 1. PSG Konsult
 |
| 1. Rezco
 |
| 1. Riscura
 |
| 1. Rmb Private Bank
 |
| 1. Rootstock Investments
 |
| 1. SANLAM
 |
| 1. Sanlam Investments
 |
| 1. Sasol Pension Fund
 |
| 1. Satrix
 |
| 1. Sentio Capital
 |
| 1. Sesfikile Capital
 |
| 1. Silkinvest
 |
| 1. Simoni Storm
 |
| 1. Standard Bank
 |
| 1. Stanlib asset managers
 |
| 1. Stonehage Fleming
 |
| 1. Tamela
 |
| 1. Tantalum
 |
| 1. Taquanta
 |
| 1. Telkom SA
 |
| 1. Truffle
 |
| 1. Umthombow
 |
| 1. Vele Asset Managers
 |
| 1. Visio Capital
 |
| 1. Vunani Fund Man.
 |
| 1. X-Chequer Fund Management
 |
| 1. 36one
 |
| 1. Abax
 |
| 1. ABSA Asset Managers
 |
| 1. Aeon Investment Management
 |
| 1. AFENA
 |
| 1. All Weather
 |
| 1. Allan Gray
 |
| 1. Aluwani Capital
 |
| 1. Anchor Capital
 |
| 1. Argon Asset
 |
| 1. Ashburton
 |
| 1. Bateleur Capital
 |
| 1. BlackRock
 |
| 1. Blue Alpha Funds
 |
| 1. Cachalia Capital
 |
| 1. Cadiz
 |
| 1. Capricorn SA
 |
| 1. Citadel
 |
| 1. Clucas Gray
 |
| 1. Coronation
 |
| 1. Denker Capital
 |
| 1. Eau Rouge
 |
| 1. EDGE cap man
 |
| 1. Electus
 |
| 1. Element
 |
| 1. Element IM
 |
| 1. EPPF
 |
| 1. Eskom Pension & Prov Fund
 |
| 1. Excelsia
 |
| 1. Fairtree Capital
 |
| 1. First Avenue
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| 1. Foord
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| 1. Future Growth
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| 1. Gryphon AM
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| 1. HH Group
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| 1. Investec Asset Man.
 |
| 1. Investment Solutions
 |
| 1. JM Busha
 |
| 1. Kagiso Asset Man.
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| 1. Laurium Capital
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| 1. Legacy Africa
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| 1. Maestro Investment
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| 1. Matrix Fund Man.
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| 1. Meago
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| 1. Melville Douglas
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| 1. Nedbank Private Wealth
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| 1. Prescient
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| 1. Prudential
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| 1. PSG
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| 1. PSG Konsult
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| 1. Rezco
 |
| 1. Riscura
 |
| 1. Rmb Private Bank
 |
| 1. Rootstock Investments
 |
| 1. SANLAM
 |
| 1. Sanlam Investments
 |
| 1. Sasol Pension Fund
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| 1. Satrix
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| 1. Sentio Capital
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| 1. Sesfikile Capital
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| 1. Silkinvest
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| 1. Simoni Storm
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| 1. Standard Bank
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| 1. Stanlib asset managers
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| 1. Stonehage Fleming
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| 1. Tamela
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| 1. Tantalum
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| 1. Taquanta
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| 1. Telkom SA
 |
| 1. Truffle
 |
| 1. Umthombow
 |
| 1. Vele Asset Managers
 |
| 1. Visio Capital
 |
| 1. Vunani Fund Man.
 |
| 1. X-Chequer Fund Management
 |

**Links to authorised intermediaries**

<https://www.fsca.co.za/Regulated%20Entities/Pages/List-Regulated-Entities-Persons.aspx>

https://www.asisa.org.za/about-us/membership/

**Network Service Providers**

1. Business Connexion (BCX)
2. BT Communication Services
3. Dark Fibre Africa
4. EOH Network Solutions
5. Internet Solutions
6. Metrofibre Networx
7. Liquid Telecom
8. Vodacom SA

**Essential JSE Vendors**

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| --- |
| 1. Aptronics
 |
| 1. BCX
 |
| 1. Blue Turtle
 |
| 1. Burgess Plumbing
2. CentroTech
 |
| 1. Chill Out Services
2. Chrinica
 |
| 1. Commvault
 |
| 1. Corpconnect
 |
| 1. Datacentrix
 |
| 1. Deloitte
2. Diesel Electric
3. Dimension Data Advance Infrastructure (DDIA)
 |
| 1. Dimension Data Facilities
 |
| 1. Discovery
 |
| 1. DRS
 |
| 1. EchoSP
2. Electronic Silo Certificates (ESC)
 |
| 1. EOH
 |
| 1. First Tech
 |
| 1. Fixnetix
 |
| 1. GoldenSource
 |
| 1. G-Pay
2. Hammertone Fuel
 |
| 1. IBM South Africa
 |
| 1. ICAS
 |
| 1. Internet Solutions
 |
| 1. LawTrust
 |
| 1. Lexus Nexus
 |
| 1. Lorge
 |
| 1. MCI
 |
| 1. Melco Lifts
 |
| 1. Microsoft
 |
| 1. Millinium IT
 |
| 1. Mint Group
 |
| 1. MTS
 |
| 1. Nasdaq
 |
| 1. Nexio
 |
| 1. Oracle
 |
| 1. PBS
 |
| 1. Performanta
 |
| 1. Refinitiv
 |
| 1. Rogerwilco
2. RT Systems
 |
| 1. Sage
 |
| 1. Servest Cleaning
2. Servest Security
3. Service First Group
 |
| 1. ServiceNow
 |
| 1. STT
 |
| 1. Tempest Fire
 |
| 1. Ubusha
 |